

B210A (Form 210A) (12/09)

IN THE UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re Lehman Brothers Holdings Inc.

Case No. 08-13555

**NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the partial transfer, other than for security, of an undivided interest in the claim referenced in this evidence and notice.

Citigroup Financial Products Inc.

**Name of Transferee**

Empyrean Investments, LLC

**Name of Transferor**

Name and Address where notices to transferee  
should be sent:

Court Claim # (if known): 26201  
Amount Claimed: \$1,400,000.00

Citigroup Financial Products Inc.  
1615 Brett Road, Bldg 3  
New Castle, DE 19720  
Attn: Brian Broyles  
Phone: 302-894-6175  
Email: Brian.Broyles@citi.com

Allowed Amount of Claim Transferred:  
\$1,400,000.00  
Date Claim Filed: 9/21/09

With a copy to:

Paul, Weiss, Rifkind, Wharton & Garrison LLP  
1285 Avenue of the Americas  
New York, New York 10019  
Attn: Jaime A. Madell  
Phone: 212-373-3228  
Email: jmadell@paulweiss.com

Name and address where transferee payments  
should be sent (if different from above):

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: 

Transferee/Transferee's Agent

Brian S. Broyles  
Authorized Signatory

Date: August 1, 2013

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years or both.  
18 U.S.C. §§ 152 & 3571.

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SOUTHERN DISTRICT OF NEW YORK

In re Lehman Brothers Holdings Inc.

Case No. 08-13555

**NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

Claim No. 26201 was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Partial Transfer of Claim Other than for Security in the clerk's office of this court on August 1, 2013.

\_\_\_\_\_  
Empyrean Investments, LLC

**Name of Transferor**

\_\_\_\_\_  
Citigroup Financial Products Inc.

**Name of Transferee**

Address of Alleged Transferor:

Empyrean Investments, LLC  
10250 Constellation Boulevard, Suite 2950  
Los Angeles, CA 90067

Address of Transferee:

Citigroup Financial Products Inc.  
1615 Brett Road, Bldg 3  
New Castle, DE 19720  
Attn: Brian Broyles  
Phone: 302-894-6175  
Email: Brian.Broyles@citi.com

**~ DEADLINE TO OBJECT TO TRANSFER ~**

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty-one (21) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**